

Joint Operational Programme Romania - Ukraine 2014 - 2020

Training for Controllers

November 17, 2020



Programme area

- Romania: counties of Satu Mare, Maramures, Botosani, Suceava, Tulcea
- Ukraine: oblasts of Ivano-Frankivsk, Zakarpatska, Chernivtsi, Odessa

Major centres: Kyiv, Bucharest

Programme budget

- 66 M€



ENI CBC

(EU contribution) = 60 M€

CO-FINANCING (Romanian
and Ukrainian beneficiaries) =
aprox. 6 M€



Programme structures

- MA - Managing Authority
- JTS – Joint Technical Secretariat
- BO – Branch Offices
- NA – National Authority
- CCP – Control Contact Point

Contact: <https://www.ro-ua.net/en/contact.html>



Thematic objectives and Programme priorities

Thematic Objectives	Priorities
TO 2 Support to education, research, technological development innovation	Priority 1.1 Institutional cooperation in the educational field for increasing access to education and quality of education Priority 1.2 Promotion and support for research and innovation
TO 3 Promotion of local culture and preservation of historical heritage	Priority 2.1 Preservation and promotion of the cultural and historical heritage
TO7 Improvement of accessibility to the region, development of transport and communication networks and systems	Priority 3.1 Development of cross border transport infrastructure and ICT tools
TO 8 Common challenges in the field of safety and security	Priority 4.1 Support to the development of health services and access to health Priority 4.2 Support to joint activities for the prevention of natural and man-made disasters as well as joint action during emergency situations Priority 4.3 Prevention and fight against organized crime and police cooperation



Eligible beneficiaries

- National/regional/local public authorities
- Other public institutions in the field of emergency situations, health, police, border police
- NGOs, professional associations, religious, cultural organisms etc.



Types of projects

- **HARD projects** - include an infrastructure component of at least 1 M€
- **SOFT projects** - do not include infrastructure component OR the infrastructure component is of less than 1 M€
- **Large infrastructure projects** - direct award, approved by the European Commission, totalize 30% of the Programme budget



1st Call for Proposals-HARD projects

Total financial allocation 19.1 M Euro

Project duration: max 24 months

Priority 3.1 Development of cross border transport infrastructure and ICT tools - 10 M€ (max. 2 M€ grant)

Priority 4.1 Support to the development of health services and access to health - 5.2 M€ (max.1,3 M€)

Priority 4.3 Prevention and fight against organized crime and police cooperation - 3.9 M€ (max.1,3 M€)



2nd Call for Proposals-SOFT projects

(1)

Financial allocation 17 mill EURO

Project duration: max 18 months

Priority 1.1. Institutional cooperation in the educational field for increasing access to education and quality of education - 2.1 M€ (min.50,000 € - max.300,000 €)

Priority 1.2. Promotion and support to research and innovation - 1.5 M€ (min.50,000 € - max.300,000 €)

Priority 2.1. Preservation and promotion of the cultural and historical heritage - 6 M€ (min.50,000 € - max.1,000,000 €)



2nd Call for Proposals-SOFT projects

(2)

Priority 3.1. Development of cross border transport infrastructure and ICT tools - 2.1 M€ (min.50,000 € - max.100,000 €)

Priority 4.1. Support to the development of health services and access to health - 1.2 M€ (min.50,000 € - max.300,000 €)

Priority 4.2. Support to joint activities for the prevention of natural and man-made disasters as well as joint actions during emergency situations - 2.7 M€ (min.50,000 € - max.500,000 €)

Priority 4.3. Prevention and fight against organized crime and police cooperation - 1.4 M€ (min.50,000 € - max.100,000 €)



Large Infrastructure Projects

Project duration: max 36 months

- **CROSS - BORDER HEALTH INFRASTRUCTURE - 4,2 M€**
- **CLEAN RIVER - 4,3 M€**
- **BRIDGE -Improvement of the population safety and security level in the cross border area by enhancing the joint training and cooperation actions in emergency situations - 7,4 M€**
- **SAGA -Regional Cooperation for Prevention and Fighting of Cross Border Crime between Romania-Ukraine - 5,2 M€**



Grant Contract

Role of Lead Beneficiary:

- signs the grant contract on behalf of all beneficiaries;
- is responsible for technical and financial implementation of the entire project;
- Submit the payment request for entire project and distributes the grant received from MA to the Beneficiaries;
- intermediates communication between beneficiaries/MA/JTS;
- inform the MA/JTS of any event likely to affect or delay the implementation of the project;
- ensures that the expenditure presented by the Beneficiaries has been incurred for the purpose of implementing the project and corresponds to the activities set in the grant contract;
- commits itself to take all necessary measures to ensure that all beneficiaries provide their own contribution and the non-eligible expenditures;
- **Checks if beneficiaries had their expenses verified by a controller.**



Grant contract Reporting

Reports shall describe the project's implementation during the reporting period.

The Lead Beneficiary shall ensure that all partners covered the same period with their reports.

The reports shall cover the project as a whole, regardless of source of financing

Reports with a payment request

The *interim report* will be sent when the project expenditure reaches at least 70% of the previous payment (i.e. pre-financing). If half of the implementation period has elapsed and the value of expenditure did not reach 70%, the Lead Beneficiary send the interim report in max. 90 days.

The *final report* will be sent in max. 6 months after the implementation period ended.

***Progress reports** - the Lead Beneficiary submit them every 6 months (4 months for LIP). If there is an overlapping between the months when the progress report and the interim report/final report are due, only the interim or the final report shall be submitted.*

***Reports on sustainability** - in case of a project including an infrastructure component, these reports will be sent annually, for a period of 5 years starting from the first year following the payment date of the final balance to the project.*



Grant contract

Payment arrangements

The total amount of pre-financing and interim payments may not exceed 90% of grant.

1. Projects with an implementation period not exceeding 12 months OR where the grant does not exceed EUR 100 000

- Pre-financing instalment: 70% of the grant, paid in the first 30 days after the signing of the contract.
- Final balance: after the approval of the final report, maximum 30%, or the balance of the grant.

2. Projects with an implementation period of more than 12 months AND where the grant is more than EUR 100 000

- Pre-financing instalment: 80% of the grant foreseen for the first 12 months.
- Interim instalment.
- Final balance: maximum 10% of the grant, or the balance.



Grant contract

Expenditure verification

- Any interim/final request for payment will be accompanied by an expenditure verification report issued by a Controller.
- The Lead Beneficiary will submit to the MA/JTS the Consolidated Expenditure Verification Report, comprising all the factual findings from the Controllers.
- Based on the narrative and financial reports drafted by the Lead Beneficiary and the Beneficiaries, and the corresponding evidence, each Controller verifies whether the costs declared are eligible, including if they were necessary for the implementation of the project, in accordance with the Contract provisions, as well as the revenue of the project including the interest from the grant, and issues an Expenditure Verification Report. No expenditure may be claimed unless it was paid.
- The Lead Beneficiary and the Beneficiaries shall grant the Controllers full access rights necessary for the administrative, on-site and financial verification.
- The expenditure verification report accompanying a request for payment of the final balance covers all expenditures not covered by any previous expenditure verification report.
- Based on the Expenditure Verification Reports, the MA determines the total amount of eligible expenditure and the amount of grant.
- No expenditures will be reimbursed by the MA to the Lead Beneficiary without being verified by the Controllers.



Romania-Ukraine
ENI-CROSS BORDER COOPERATION

Grant contract

Eligibility of expenditure

- Controllers will check eligibility of expenditure, their necessity for project implementation, according to contract provisions.
- Eligible costs are actual costs incurred and paid by the Lead Beneficiary and partners, irrespective they are EU contribution or own co-financing.
- Costs incurred should be paid before the submission of final reports
- Only costs relating to final reports, for expenditure verification, may be incurred after the implementation period of the project. They may be paid afterwards, provided they are listed in the final report together with the estimated date of payment;
- Costs relating to services and works shall relate to project activities performed during the implementation period.
- Costs of supplies shall relate to delivery and installation of items and acceptance during the implementation period.
(Signature of a contract, placing an order or entering into any commitment for expenditure within the implementation period for future delivery of services, works or supplies after expiry of the implementation period do not meet this requirement. Cash transfers between the Lead Beneficiary and the partners may not be considered as costs incurred;)
- The complete list of eligibility criteria can be found at art.8 of the Grant Contract.
- Please, pay attention to the visibility rules!



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